



Applicant's Docket No. 49592 (1878)

#8
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: D. Williams et al.
Serial No.: 09/506,362 Group No.: 1614
Filed: February 15, 2000 Examiner: Not Yet Assigned
For: APOPTOSIS-INDUCING COMPOUNDS

Assistant Commissioner for Patents
Washington, D.C. 20231

SIR:

REQUEST FOR CORRECTED FILING RECEIPT

1. Attached is a copy of the official filing receipt received from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested.

2. There is an error with respect to the following data, which is:

[X] incorrectly entered

and/or

[] omitted.

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Susan M. Dillon
Signature

Date: 10/12/00

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(Request for Corrected Filing Receipt—page 1 of 2)

Error in

Correct data

- | | |
|--|---|
| 1. <input type="checkbox"/> Applicant's name and address | 1. |
| 2. <input type="checkbox"/> Applicant's address | 2. |
| 3. <input type="checkbox"/> Title | 3. |
| 4. <input checked="" type="checkbox"/> Filing Date | 4. February 15, 2000 (see New Application Transmittal, page 1, Certificate of Express Mailing copy enclosed herewith) |
| 5. <input type="checkbox"/> Serial Number | 5. |
| 6. <input type="checkbox"/> Foreign/PCT Application | 6. |
| 7. <input type="checkbox"/> Other | 7. |

3. *(complete the following applicable item)*

- A. ☒ The correction(s) is/are not due to any error by applicant and no fee is due.

OR

- B. ☐ At least one of the above corrections is due to applicant's error and the fee therefor, under 37 C.F.R. 1.19(h), of \$25.00 is paid as follows:

☐ Enclosed is check for \$25.00.

☐ Charge Account 04-1105 the sum of \$25.00.

Christine C. O'Day
SIGNATURE OF PRACTITIONER

Reg. No. 38,256

Christine C. O'Day
(type or print name of practitioner)

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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/506,362	02/16/2000	1614	1168	49592 (1878)	41	22	7

Peter F Corless Esq
Dike Bronstein Roberts & Cushman LLP
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Boston, MA 02109

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AUG 30 2000

DIKE BRONSTEIN
ROBERTS CUSHMAN

CORRECTED FILING RECEIPT



OC00000005357156

Date Mailed: 08/28/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

David Clive Williams, Dublin, IRELAND;
Daniela M. Zisterer, Dublin, IRELAND;
Vito Nacci, Siena, ITALY;
Giuseppe Campiani, Siena, ITALY;

Continuing Data as Claimed by Applicant

THIS APPLICATION IS A CIP OF PCT/IE99/00030 05/06/1999

Foreign Applications

IRELAND 980344 05/06/1998
PCT/IE99/00030 05/06/1999

If Required, Foreign Filing License Granted 04/27/2000

Title

Apoptosis-inducing compounds

Preliminary Class

514

Data entry by : JAY, MARION

Team : OIPE

Date: 08/28/2000

1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28 29 30 31 32 33 34 35 36 37 38 39 40 41 42 43 44 45 46 47 48 49 50 51 52 53 54 55 56 57 58 59 60 61 62 63 64 65 66 67 68 69 70 71 72 73 74 75 76 77 78 79 80 81 82 83 84 85 86 87 88 89 90 91 92 93 94 95 96 97 98 99 100

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Practitioner's Docket No. 49592 (1878)

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Box Patent Application
Assistant Commissioner for Patents
Washington, D.C. 20231

NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of

Inventor(s): David Clive Williams, Daniela M. Zisterer, Vito Nacci and Giuseppe Campiani

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"(a) A patent is applied for in the name or names of the actual inventor or inventors.

(1) The inventorship of a nonprovisional application is that inventorship set forth in the oath or declaration as prescribed by § 1.63, except as provided for in § 1.53(d)(4) and § 1.63(d). If an oath or declaration as prescribed by § 1.63 is not filed during the pendency of a nonprovisional application, the inventorship is that inventorship set forth in the application papers filed pursuant to § 1.53(b), unless a petition under this paragraph accompanied by the fee set forth in § 1.17(i) is filed supplying or changing the name or names of the inventor or inventors."

For (title): APOPTOSIS-INDUCING COMPOUNDS

CERTIFICATION UNDER 37 C.F.R. 1.10*

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Susan M. Dillon

(type or print name of person mailing paper)

Susan M. Dillon

Signature of person mailing paper

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